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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		<b>Attorney Docket No.</b> 282.032
		<b>First Named Inventor</b> DAVID J. BEEBE ET AL
<b>Title</b>		BIOCHEMICAL SIGNAL TRANSFER USING LIPOSOMES IN A CHANNEL OF A MICROFLUIDIC DEVICE
		<b>Express Mail Label No.</b> EV313040236US

<b>APPLICATION ELEMENTS</b>  <i>See MPEP chapter 600 concerning utility patent application contents.</i>		ADDRESS TO: Mail Stop - Box Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
<p>1. ( X ) Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. ( X ) Applicant claims small entity status See 37 CFR 1.27</p> <p>3. ( X ) Specification [Total Pages 17] <i>(preferred arrangement set forth below, MPEP 1503.01)</i></p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claims</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. ( X ) Drawing(s) (35 U.S.C. 113) [Total Sheets 5]</p> <p>5. ( ) Oath or Declaration [Total Pages ]</p> <ul style="list-style-type: none"> <li>a. ( ) Newly executed (original or copy)</li> <li>b. ( ) Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 16 completed)</i></li> </ul> <ul style="list-style-type: none"> <li>i. ( ) <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63 (d)(2) and 1.33 (b).</li> </ul> <p>6. ( ) Application Data Sheet. See 37 CFR 1.76</p> <p>7. ( ) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p>		<p>8. ( ) Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"> <li>a. ( ) Computer Readable Form (CRF)</li> <li>b. ( ) Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. ( ) CD-ROM or CD-R (2 copies); or</li> <li>ii. ( ) paper</li> </ul> </li> <li>c. ( ) Statement verifying identity of above copies</li> </ul>
<b>ACCOMPANYING APPLICATION PARTS</b>		
<p>9. ( ) Assignment Papers (cover sheet &amp; document(s))</p> <p>10. ( ) 37 CFR 3.73(b) Statement ( ) Power of (when there is an assignee) Attorney</p> <p>11. ( ) English Translation Document (if applicable)</p> <p>12. ( ) Information Disclosure ( ) Copies of IDS Statement (IDS)/PTO-1449 Citations</p> <p>13. ( ) Preliminary Amendment</p> <p>14. ( X ) Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. ( ) Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. ( ) Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i) – Applicant must attach form PTO/SB/35 or its equivalent</p> <p>17. ( X ) Other: <u>Supplement to Transmittal Letter</u></p>		

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

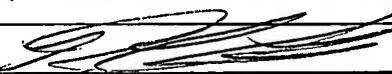
For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label		23598		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Peter C. Stomma				
Address	BOYLE, FREDRICKSON, NEWHOLM, STEIN & GRATZ S.C.				
	250 E. Wisconsin Avenue, Suite 1030				
City	Milwaukee	State	WI	Zip Code	53202
Country	USA	Telephone	(414) 225-9755		Fax (414) 225-9753

Name (Print/Type) Peter C. Stomma

Registration No. (Attorney/Agent) 36,020

Signature 

Date November 4, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Design, Washington, DC 20231.

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# FEE TRANSMITTAL

## for FY 2003

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 448.00)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	David J. Beebe et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	282.032

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None

 Deposit Account

Deposit Account Number	50-1170
Deposit Account Name	Boyle, Fredrickson, Newholm, Stein & Gratz S.C.

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments

 Charge any additional fee(s) during the pendency of this application

 Applicant Claims Small Entity Status See 37 CFR 1.27

 Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Paid		
Fee	Fee	Fee		
Code	(\$)	Code		
105	130	205	65	Surcharge – late filing fee or oath
127	50	227	25	Surcharge – late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for ex parte reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive – unavoidable
141	1,280	241	640	Petition to revive – unintentional
142	1,280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Processing fee under 37 CFR 1.17(q)
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

SUBTOTAL (1) (\$ 385)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Fee from below	Fee Paid
Extra Claims	
Total Claims 27 -20**= 0 X 7 = 63	
Independent Claims 3 - 3**= 0 X = -0-	
Multiple Dependent	

## Large Entity

## Small Entity

Fee	Fee	Fee	Fee	Fee Description
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
102	86	202	43	Independent claims in excess of 3
104	290	204	145	Multiple dependent claim, if not paid
109	86	209	43	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$63)

\*\* or number previously paid, if greater; For Reissues, see above

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

Complete if applicable

Name (Print/Type)	Peter C. Stomma	Registration No. (Attorney/Agent)	36,020	Telephone	(414) 225-9755
Signature				Date	November 4, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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INTELLECTUAL PROPERTY LAW

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November 4, 2003

Mail Stop - Box Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Utility Patent Application  
Inventor(s): David J. Beebe et al.  
Title: *Biochemical Signal Transfer Using Liposomes In A  
Channel Of A Microfluidic Device*  
**USPTO Customer Account No.: 23598**  
Attorney Docket No.: 282.032

Dear Sir:

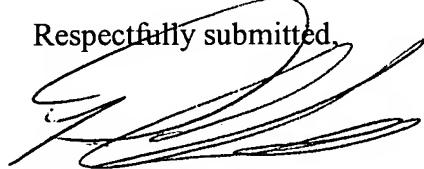
Enclosed is our check in the amount of **\$448.00** in payment of the government filing fee for the enclosed United States patent application, which application includes **10** pages of specification, **27** claims on **6** sheets, **4** Drawings on **5** sheets, and an Abstract of the Disclosure. A Utility Patent Application Transmittal and Fee Transmittal Form PTO/SB/17 (11/00) together with the filing fee are also enclosed. The Declaration and Power of Attorney is not included with the application and will be submitted at a later date.

Applicant is a small entity within the meaning of 37 CFR §§ 1.9 and 1.27 and hereby claims small entity status. The application has Federal Funding No. DOD ARPA F30602-00-2-0570.

Mail Stop - Box Patent Application  
Commissioner for Patents  
November 4, 2003  
Page 2

The Director is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,



Peter C. Stomma  
Registration No. 36,020

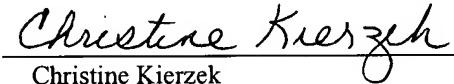
Customer Account - 23598

Certification Under 37 CFR 1.10

Express Mail Label No: **EV313040236US**

Date of Deposit: **November 4, 2003**

I hereby certify that this paper or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the MAIL STOP - BOX PATENT APPLICATION, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.



Christine Kierzek

Christine Kierzek

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application Of: )  
DAVID J. BEEBE ET AL. )  
Filed Herewith )  
BIOCHEMICAL SIGNAL TRANSFER )  
USING LIPOSOMES IN A CHANNEL )  
OF A MICROFLUIDIC DEVICE )

**SUPPLEMENT TO TRANSMITTAL LETTER**

Mail Stop - Box Patent Application  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This application is being filed pursuant to 37 CFR 1.53(b) and (d). The application includes the specification, at least one claim, the drawings, and the filing fee. The inventors are:

David J. Beebe  
4647 Tonyawatha Trail  
Monona, WI 53716

Jung-Hwa Aura Gimm  
1631 Jefferson Street, #2  
Madison, WI 53711

and

Arnold E. Ruoho  
1209 Brookwood Street  
Madison, WI 53711

Jeffrey S. Moore  
807 Vista Drive  
Savoy, IL 61874

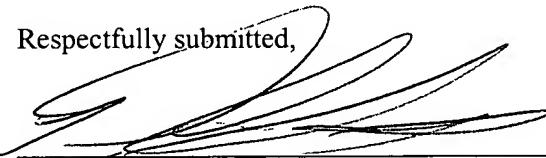
The oath or declaration of the applicants is not included. The declaration will be forwarded promptly upon notification from the U.S. Patent and Trademark Office.

Please address all correspondence to:

**PETER C. STOMMA**  
BOYLE, FREDRICKSON, NEWHOLM, STEIN & GRATZ, S.C.  
250 East Wisconsin Avenue, Suite 1030  
Milwaukee, Wisconsin 53202

Please address all telephone calls to:

**PETER C. STOMMA**  
Phone No.: (414) 225-9755

Respectfully submitted,  


Peter C. Stomma, Reg. No. 36,020

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(414) 225-9755  
Attorney Docket No: 282.032

## Certification Under 37 CFR 1.10

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Date of Deposit: November 4, 2003

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Christine Kierzek  
Christine Kierzek